



INSPECTION OF YOUTH OFFENDING WORK (FJI & SQS) INSPECTION TOOL v 16

NB: All questions in the detail view, other than question 14, apply to both FJI and SQS

<u>Assessor Details</u>		
1. Name		
2. HMIP or Local Assessor?	HMI Probation Local Assessor	0 0
Young Person Details		
3a. Youth Offending Team	Insert drop down list	
3b. Is this inspection in England or in Wales?	England	0
	Wales include 9, 13c	Ο
4. Age at start of sentence		(years)
5. Gender		
6. Race and ethnic category	Insert drop down list	
7a. Has the child or young person been a Looked Af Child (whether "accommodated and maintained		0
care order or remanded to Local Authority Accommodation), at any time during the senten being inspected?	No	0
7b. If so, is this YOT also the home local authority a the child or young person?	rea for Yes – YOT is home LA area and placed here throughout sentence	0

	Yes – YOT is home LA but placed in another area during all or part of sentence if ticked include 3.8.4	0
	No – YOT is in host LA - is placed from another area	0
8. Has the child or young person been subject to a child protection plan or Section 47 inquiries at any time durin sentence being inspected?	Yes No	O O
9a. What was the child or young person's preferred first language?	English ^{go to 10} Welsh Other (please explain) ^{go to} 10	0 0 0
	Not known	0
9b. Was the child or young person offered the opportunity have a Welsh speaking case manager?	to Yes No Not known	0 0 0
9c. Did the child or young person express a preference to be managed by a Welsh speaking case manager?	e Yes No Not known	0 0 0
9d. Did the YOT provide a Welsh speaking case manager in case?	this Yes No Not required	0 0 0
9e. Throughout the course of the sentence to date did the Y take sufficient account of the preference to work through the medium of Welsh?		0 0 0
Case Details		
Referral order If selected include 1.9.3 Reparation order If selected exclude 10b YRO with supervision only YRO with supervision and ISP YRO with supervision plus other conditions Detention and Training Order If selected include 10c, 2.0 Section 91 custodial sentence If selected include 10c, 2.0 Detention for Public Protection If selected include 10c, 2.0), 2.9, 2.13, 3.4.3, 3.6.3, 3.10), 2.9, 2.13, 3.4.3, 3.6.3, 3.10 .0, 2.9, 2.13, 3.4.3, 3.6.3, 3.10	0 0 0 0 0 0
10b. Length of Sentence (months)		
10c. Has this child or young person been released?	Yes	0

			No	0
11. Original index offence				
Violence against the person (in	ncluding affra	•	t disorder, abusive/ ning behaviour etc.)	0
			Fraud and forgery Sexual offences	0 0
	Crimin	al damag	e (excluding arson)	0
			Burglary	0
			Arson Robbery	0 0
			Drug offences	Ö
	The	ft and ha	ndling stolen goods	0
			Motoring	0
		Oth	ner (please explain)	0
12a. In the opinion of the inspector was th eligible at any time during the sentenced b			Yes	0
ongibio at any timo adming the combined b	onig mopoore	,	NO If NO go to 13 and exclude question 2.10.1	0
			exclude question 2.10.1	
12b. If so, which MAPPA Category applied	to this case?		Cat 1	Ο
			Cat 2	0
			Cat 3	0
12c. What was the highest MAPPA level at needed to be managed?	which this ca	ise	Level 1 If L1 exclude question 2.10.1	0
Ü			Level 2	0
			Level 3	0
13a. Present at interview (indicate all that a				
	Case manag	ger .ethoroub	ootitute if in Wales go to	
	q 13c	other sur	ostitute ^{if in Wales} go to	
	No-one avai	ilable for	interview ^{go to q 14}	
13b. Is this the first time this case manage been interviewed?	r has	Yes If YES include	view 5 questions 5.3 onwards	0
been interviewed:		No		0
13c. Was this interview conducted in Wels		•	lly or mainly)	0
		No		0
14 (FJI only) Which additional modules are	included wit	hin this ir	nspection (tick all that	apply): ¹
Cour	t Work If ticke	ea inciude 1.5	D.3, 1.6.2D	

¹ The list of additional modules is included ready for when these are developed. It is anticipated that each module will include a small number of additional scoring questions solely for that module, to be included only when that module is selected. In addition some core questions will also contribute to the score for an additional module.

View 1 – Assessment

Question 1.1.1	FJI? FJI	SQS? SQS	Criterion 1.2.1 I.2	Question Have sufficient efforts been made to understand why this child or young person offended and what may help reduce their offending? [RR] [INT]	Answer options Yes No (If shaded include 1.1.3) N/A (CLA - assessment undertaken by home YOT) (If shaded go to 1.2.1)	0 0
1.1.2.1	FJI	SQS	1.2.1	Specifically was there sufficient investigation and explanation, in so far as they relate to reoffending of:	Yes	No
				a) Living arrangements b) Family & Personal relationships c) Education, Training or Employment d) Neighbourhood e) Lifestyle f) Substance misuse - alcohol g) Substance misuse - drugs and other h) Physical Health i) Emotional/ mental health j) Perception of self and others k) Thinking and behaviour l) Attitudes to offending m) Motivation to change	0 0 0 0 0 0 0 0	0 0 0 0 0 0 0 0 0 0 0

1.1.3	FJI	SQS	1.2.1	If there wasn't sufficient effort to understand the reasons for	Initial assessment not completed	
				offending please identify all that apply:	Initial assessment completed but not timely	
					Initial assessment completed but not clear when (If shaded include 1.1.3.1)	
					Initial assessment completed but insufficient quality (If shaded include 1.1.4)	
					CLA - Initial assessment responsibility of home YOT but not available	
					Other (please explain)	
1.1.3.1	FJI	SQS	1.2.1	You have judged that it is unclear when the assessment was completed. To assist the lead inspector please record the following details and explain your judgement further in question 1.20b.	Date that YOT says assessment was completed	[Date]
					Date that assessment appears to have been completed (date of first entry if no other information)	[Date]
1.1.4	FJI	SQS	1.2.1	You have judged that the work to understand why this child or	Failure to identify positive influences	
				young person may offend was not good enough. Please	Unclear and/or insufficient evidence	
				identify all the reasons that apply:	Failure to identify vulnerability (offending related)	
					Failure to identify diversity factors (offending related) (If shaded include 1.1.5)	
					Failure to draw sufficiently on information or assessments held by others	
					Failure to draw sufficiently on previous or other YOT assessments	
					Failure to identify factors linked to offending	
					Failure or to make referrals for or undertake specialist offending behaviour	
					assessments Initial assessment of likelihood of reoffending largely a copy of a previous one, without sufficient update	

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					Insufficient assessment of emotional or	
					mental health	
					Insufficient assessment of physical health	
					Insufficient assessment of substance misuse	
					Insufficient assessment of ETE	
					Insufficient assessment of care	
					arrangements	
					No update to historical information	
					Insufficient assessment following transfer in	
					Assessment factually incorrect	
					Referral Order – report to youth offender	
					did not sufficiently reflect initial assessment	
					Other (please explain)	
1.1.5	FJI	SQS	1.2.1	Which offending related diversity factors were not identified sufficiently? (tick all that apply):	Learning styles	
				J (113)	Speech, language and communication needs	
					Age or maturity	
					Race or ethnicity	
					Girls and young women	
					Disability	
					Child Looked After	
					Sexuality Other (please evaluin)	
					Other (please explain)	
1.2.1	FJI	SQS	n/a	Does the child or young person have a disability?	Yes	0
				, , ,	No ^(Go to 1.3)	0
1.2.2	FJI	SQS	n/a	Is this disability in relation to: (tick all that apply)	Physical impairment	
					Mental health/emotional state	
					ADHD	
					Hyperactivity	
					Statement of Special Educational Need	
					Other learning difficulty or disability	
					Not known or Other (please explain)	
1.2.3	FJI	SQS	n/a	Please identify the level of impact the disability could have on	Minor	0
1.Z.J	1 11	JUJ	11/ 0		IVIII IUI	U
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				the child or young person's ability to complete and benefit from supervision:	Medium Severe	0		
1.3.1	FJI	SQS	4.1.2	Was sufficient effort made to identify and understand diversity	Yes	0		
			1.2	factors and barriers to engagement? [ESS] [INT]	No (If No include 1.3.2)	0		
					N/A (CLA - assessment undertaken by home YOT)	0		
1.3.2	FJI	SQS	4.1.2	Please identify which diversity factors and barriers to engagement there was insufficient understanding of in this case: (tick all that apply)	Learning styles			
							Speech, language and communication needs	
					Age or maturity			
					Race or ethnicity			
					Girls and young women			
					Disability			
					Child looked after			
					Sexuality			
					Other (please explain)			
1.4.1	FJI	SQS	4.1.1	Was there sufficient engagement with the child or young	Yes	0		
			1.2	1.2 person, parents/carers or significant others when seeking tunderstand the factors in this case? [ESS] [INT]	person, parents/carers or significant others when seeking to	No (If NO include 1.4.2)	0	
					N/A (CLA - assessment undertaken by home YOT)	0		
1.4.2	FJI	SQS	4.1.1	There was insufficient engagement with the child or young person or parent/carers because: (please identify all that	Assessment completed without the child or young person being met			
				apply)	Child or young person not seen alone			
				11.37	Insufficient opportunity for child or young			
					person to provide their views			
					Insufficient engagement with parent			
					Insufficient engagement with carer or significant others			
					Assessment does not reflect child or young person views			
					Assessment does not reflect parent/carer			

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					views	
					Insufficient assessment following transfer in	
					Referral Orders – insufficient attempt to	
					ensure report to panel is understood	
					Other (please explain)	
1.5	i.1 FJI	SQS	1.2.2	How was the sentencing court advised about sentencing	New written pre-sentence report	
1.0	131	020	1,2,2	options and the needs of the child or young person? (Tick all	PSR (less than 3 months old)	
				that apply):	PSR (more than 3 months old)	
					Specific sentence report	
					Stand down (same day) report	
					Breach report	
					Verbal Update	
					No information was provided to court	
					Case record unclear	
					N/A (CLA –sentenced in remote court) (If ticked go to 1.6.1)	
1.5	i.2 FJI	SQS	1.2.2	In your opinion did this method(s) provide sufficient advice to	Yes (please explain)	0
				the court when passing sentence?	No (please explain)	0
1.5	i.3 FJI		1.2.2	Specifically, was any written report produced of the	Yes	0
				appropriate type? (NB: the quality of the report is assessed in subsequent questions. This question just examines whether		
				the correct type of report was used)		
				and contact type of toport mad accept	No (please explain)	0
					N/A (no report required)	0
					(1 1 7	
1 /	1 FII	202	nla	Was a written pro contange construct requested for this	Voo	٥
1.6	.1 FJI	SQS	n/a	Was a written pre-sentence report requested for this sentence?	Yes No ^{(If NO} go to 1.10.1)	0
				SCHICHUE:	N/A (CLA – report was responsibility of	0
					home YOT) (If shaded go to 1.10.1)	U
					,	
1.6	.2 FJI	SQS	1.2.2	Did the pre-sentence report contain:	W	_
				a) a clear, thorough and sufficient explanation of <i>risk of harm</i>	Yes	0
				to others that applied in this case [PP]	NO	0
					N/A (Report not provided)	0
				D 0 - (T /		

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				b) a clear, thorough and sufficient explanation of vulnerability and Safeguarding needs that applied in this case [PYP]	Yes No N/A (Report not provided)	0 0 0
				c) sufficient attention to the impact of and appropriate alternatives to custody	Yes No N/A (Custody not an option or report not provided)	0 0 0
1.6.2.b	FJI		1.2.2	Does the sentence concur with the proposal that was made?	Yes No (please explain any identified reasons) N/A (no report with proposal provided)	0 0 0
1.6.3	FJI	SQS	1.2.2	Overall, was a good quality pre-sentence report provided to	Yes	0
				the court? [RR]	No (If NO include 1.6.4)	0
1.6.4	FJI	SQS	1.2.2	There was not a pre-sentence report of sufficient quality because: (tick all that apply)	Pre-sentence report not provided Pre-sentence report provided but not timely Pre-sentence report not based on an up to date assessment of likelihood of reoffending Pre-sentence report not balanced, verified and factually accurate Pre-sentence report not sufficiently analytical Pre-sentence report not sufficiently concise Pre-sentence report contained poor grammar or spelling Pre-sentence report did not contain an appropriate or clear proposal Insufficient assessment of risk of harm Insufficient assessment of vulnerability Insufficient attention to use of custody Other (please explain)	
1.7.1	FJI	SQS	4.1.1	Were the child or young person and their parent/carer	Yes	0
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				sufficiently engaged in the development of the pre-sentence report? [ESS]	No (If NO include 1.7.2)	0
				The second secon	N/A (PSR not produced)	0
1.7.2	FJI	SQS	4.1.1	The child or young person or their parent/carer were not sufficiently involved in the report because: (tick all that apply)	Report was not understandable to them They were not provided with a copy in good time	
					YOT did not ensure that they understood the pre-sentence report	
					Report did not reflect child or young person views	
					Pre-sentence report did not reflect parent views	
					Pre-sentence report did not reflect carer or significant other views	
					Other (please explain)	
1.8.1	FJI	SQS	4.1.2	Did the pre-sentence report give sufficient attention to diversity factors and potential barriers to engagement? [ESS]	Yes No ^(If NO include 1.8.2) N/A (PSR not produced)	0 0 0
1.8.2	FJI	SQS	4.1.2	Which diversity factors and barriers to engagement were not reflected sufficiently in the report: (tick all that apply)	Learning styles Speech, language and communication needs Age or maturity Race or ethnicity Girls and young women Disability Child Looked After Sexuality Previous non-compliance Other (please explain)	
1.9.1	FJI	SQS	1.5	Were local management arrangements effective in ensuring the quality of the report? [RR]	Yes No (IFNO include 1.9.2) N/A (no management involvement required)	0 0 0
1.9.2	FJI	SQS	1.5	Local management arrangements were not effective in Page 11 of 56 IYOW Case IYOW Assessment Tool v16 17Jul15.doc	Management involvement required but not	

				ensuring the quality of the report because: (tick all that apply)	provided Case not discussed with manager Insufficient report signed off by manager Deficits identified by manager not addressed	0		
					Insufficient PSR provided to court Other (please explain)			
1.9.3	FJI	SQS	1.5	Overall was a good quality report provided to the youth offender panel?	Yes	0		
				orichide parter:	No (If NO include 1.9.4)	0		
					N/A (panel hasn't met yet)	0		
1.9.4	FJI	SQS	1.5	A good quality report was not provided to the youth offender panel because: (tick all that apply)	Report not provided (please explain)			
							Report not based on up to date assessment of likelihood of reoffending	
					Insufficient assessment of risk of harm included in report			
					Insufficient assessment of vulnerability included in report			
					Insufficient assessment of diversity factors/			
					barriers to engagement included in report Victim impact not clearly identified			
					Key positive and risk factors not clearly identified			
					Level of interventions (scaled approach) not clearly identified			
					Guidance provided by sentencing court not clearly reflected in report			
					Insufficient attention to reparation (including victim wishes)			
					Insufficient attention to restorative justice			
					Relevant available interventions not clearly			
					indicated			
					Report not balanced, verified or factually accurate			
					Report insufficiently concise or analytical Insufficient management oversight			

					Other (please explain)	
1.10.1	FJI	SQS	2.2.1	Was sufficient effort made to understand and explain the risk	Yes (ISNO included 4 4 0 2)	0
				of harm to others posed by the child or young person? [PP]	No (If NO include 1.10.2)	0
					N/A (CLA - assessment undertaken by home YOT)	0
1.10.2	FJI	SQS	2.2.1	Sufficient effort was not made to understand and explain the	Assessment of risk of harm not undertaken	
				risk of harm to others because: (tick all that apply)	Screening not undertaken	
					Screening not timely	
					Screening of insufficient quality	
					Full assessment not undertaken	
					Full assessment not timely	
					Nature or level of risk of harm unclear	
					RoSH classification too high	
					RoSH classification too low	
					Insufficient account taken of actual victim	
					Insufficient account taken of potential	
					victims	
					MAPPA category or level inaccurate	
					Relevant offences ignored	
					Other relevant behaviour ignored	
					Assessment did not draw adequately on	
					information from other agencies	
					In custodial case, assessment unclear about	
					the risks that apply separately during	
					custodial and community phases	
					Assessment not completed using agreed	
					tools	
					Staff understanding of risk of harm	
					Assessment factually incorrect	
					Insufficient assessment following transfer in	
					CLA - Assessment responsibility of home	
					YOT but not available	
					Other (please explain)	
1.13.1	FJI	SQS	3.2.1	Was sufficient effort made to understand and explain the	Yes	0
				vulnerability and Safeguarding needs that applied in this case? [PYP]	No (If NO include 1.13.2)	0

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					N/A (CLA - assessment undertaken by home YOT) (If shaded go to 1.15.1)	0
1.13.1.2	FJI	SQS	3.2.1	Specifically was sufficient effort made to understand and explain, in so far as they relate to safeguarding or reducing vulnerability :	Yes	No
				a) Emotional or mental health	0	0
				b) Physical health	0	0
				c) Substance misuse - alcohol	0	0
				d) Substance misuse – drugs and other	0	0
				e) Employment Training or Education	0	0
				f) Care arrangements (living and parenting)	0	0
1.13.2	FJI	SOS	3.2.1	Sufficient effort was not made to understand and explain Safeguarding and vulnerability because: (tick all that apply)	Assessment of vulnerability not undertaken Screening not undertaken Screening not timely Screening of insufficient quality Full assessment not undertaken Full assessment not timely Specialist assessment not requested or undertaken (If ticked include 1.13.3) Nature or level of vulnerability unclear Vulnerability classification inaccurate Other relevant behaviour ignored Assessment did not draw adequately on information from other agencies In custodial case, assessment unclear about the risks that apply separately during custodial and community phases Assessment not completed using agreed tools Insufficient liaison with children's social care services	

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					Too narrow a focus on impact of custody High thresholds Assessment factually incorrect Insufficient assessment following transfer in CLA - Assessment responsibility of home YOT but not available Other (places cyclein)	
					Other (please explain)	
1.13.3	FJI	SQS	3.2.1	What required specialist assessments were not requested or undertaken: (tick all that apply)	Substance misuse assessment not requested	
				and order of the tall that appropriate the tall	Substance misuse assessment not undertaken	
					Emotional or mental health assessment not requested	
					Emotional or mental health assessment not undertaken	
					Physical health assessment not requested	
					Physical health assessment not undertaken	
					Other specialist assessment not requested or undertaken	
					Other (please explain)	
1.14.1	FJI	SQS		In the opinion of the inspector was child sexual exploitation (CSE), or the need to undertake CSE investigations, present in this case (relating to this child or young person) at any point?	Yes – but it was not recognised by the YOT	0
				(Whenever you answer YES please explain in 1.20b) (NB: Whenever there are concerns that relate to others (e.g. siblings or acquaintances) please also explain this in 1.20b)	Yes – it was recognised by the YOT but was not addressed sufficiently by them	0
				111 1.200)	Yes – it was recognised by the YOT, but	0
					was not addressed sufficiently by others Yes – it was recognised by the YOT, but was not addressed sufficiently by both the YOT and by others	0
					Yes – and it was responded to as required	0
					No – CSE was unlikely to be present in this case	0
1.15.1	FJI		1.2	Interventions module only: Has the suitability and Page 15 of 56	Yes	0
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				eligibility of the child or young person for specific interventions to address reoffending been sufficiently considered? [INT]		
					No (IFNO include 1.15.2) N/A (CLA - assessment undertaken by home YOT)	0
1.15.2	FJI		1.2	There was insufficient consideration of suitability for interventions because: (tick all that apply)	Assessment not completed	
				(Assessment completed but not timely Assessment completed but not clear when Assessment completed but insufficient quality Other (places explain)	
					Other (please explain)	
1.16.1	FJI	SQS	1.2.1 1.2	Was there sufficient review throughout the sentence of the reasons for offending and what may reduce this? [RR] [INT]	Yes No ^(If NO include 1.16.2) N/A (no review yet required	0 0 0
1.16.2	FJI	SQS	1.2.1	There was insufficient review of the reasons for offending because: (tick all that apply)	Reviews not undertaken as required Reviews not timely Reviews of insufficient quality Review not undertaken following significant change Assessment not reviewed immediately post sentence when required Reviews in custody insufficient Insufficient review on release from custody Reviews a copy of previous assessment with sufficient update Insufficient update to historical information Other (please explain)	
1.17.1	FJI	SQS	2.2.1	Was there sufficient review throughout the sentence of the risk of harm to others posed by this child or young person? [PP]	Yes No ^(If NO include 1.17.2) N/A (no review yet required)	0 0 0
1.17.2	FJI	SQS	2.2.1	There was insufficient review <i>risk of harm to others</i> because: (tick all that apply)	Reviews not undertaken as required Reviews not timely Reviews of insufficient quality	

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					Review not undertaken following significant	
					change Assessment not reviewed immediately post	
					sentence when required Reviews in custody insufficient	
					Insufficient review on release from custody	
					Reviews a copy of previous assessment	
					with sufficient update	_
					Staff understanding of risk of harm	
					Other (please explain)	
1.18.1	FJI	SQS	3.2.1	Was there sufficient review throughout the sentence of the	Yes	0
				safeguarding and vulnerability needs in this case? [PYP]	No (If NO include 1.18.2)	0
					N/A (no review yet required)	0
1.18.2	FJI	SQS	3.2.1	There was insufficient review of safeguarding and vulnerability	Reviews not undertaken as required	
				because: (tick all that apply)	Reviews not timely	
					Reviews of insufficient quality	
					Review not undertaken following significant change	
					Assessment not reviewed immediately post sentence when required	
					Reviews in custody insufficient	
					Insufficient review on release from custody	
					Reviews a copy of previous assessment	
					with sufficient update	
					High Thresholds	
					Other (please explain)	
1.19.1	FJI	SQS		In the opinion of the inspector what was the highest risk of serious harm classification that should have applied during the period of the sentence being inspected?	LOW (If Low exclude 4.4.1)	0
					Medium	0
					High	0
					Very High	0
1.19.2	FJI	SQS		In the opinion of the inspector what was the highest vulnerability classification that should have applied during the period of the sentence being inspected?	LOW (If Low exclude 4.8.1)	0
				Da 17 af F/		

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				Medium High Very High	
1.20a	FJI	SQS	Please provide a very brief pen picture for the lead inspector of the offending and other key characteristics of this case – do not repeat items recorded in the details view. (max 100 words)		[free text entry]
1.20b	FJI	SQS	Now summarise the key characteristics of this case that influenced your judgements in this View, including positive practice about how the case manager ensured that relevant factors were fully understood, or mistakes that were made in this. Always be clear which domain (RoH etc) each comment refers to. Please also comment on relevant reports, and advice provided to the sentencing court. Please specifically comment on any CSE indicators found in this case. Include comments on any strengths that you found. In FJI please include specific comments pertinent to the additional modules being inspected. (max 200 words)		[free text entry]

View 2 - Planning

Question	Use in FJI?	Use in SQS?	Criterion	Question	Answer options	
2.0.1 (was	FJI	SQS	1.3.2 1.2	Was there sufficient planning throughout the custodial phase of the sentence for work to reduce reoffending? [RR] [INT]	Yes	0
(was 2.6.1)			1.2	of the sentence for work to reduce reoriending. [kk] [ini]	No (If NO include 2.0.2)	0
,					N/A (CLA - planning undertaken by home YOT)	0
2.0.2 (was 2.6.2)	FJI	SQS	1.3.2	The planning during the custodial phase was insufficient because: (tick all that apply)	Custodial sentence plan not produced	
2.0.2)					Initial custodial sentence plan not timely	
					Plan does not sufficiently reflect YOT assessment of needs	
					Child or young person not sufficiently involved in the planning	
					Plan does not reflect child or young person views	
					Plan does not reflect the whole sentence	
					Plan unclear which aspects are delivered in custody and community	
					Responsibility for delivery of plan unclear	
					Insufficient focus given to resettlement	
					Insufficient reviews of the custodial plan	
					CLA - Planning responsibility of home YOT but not available	
					Other (please explain)	
2.1.0	FJI	SQS		Based on the inspector's assessment of the needs in this case, please identify up to five highest priority factors to reduce reoffending		
				Priority factor	Insert drop down list from 1.1.2.1	
				Priority factor	Insert drop down list from 1.1.2.1	

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				Priority factor	Insert drop down list from 1.1.	2.1]
				Priority factor	Insert drop down list from 1.1.	2.1]
				Priority factor	Insert drop down list from 1.1.	2.1]
2.1.1	FJI	SQS	1.3.1 1.2	Was there sufficient planning in place for work in the community to reduce reoffending? [RR] [INT]	Yes No ^{(If NO inclu} N/A (CLA - planning und YOT) ^{(If ticked g}	Hertaken hy home	0 0 0
2.1.2	FJI		1.3.1	Specifically, did the planning for work in the community to reduce reoffending pay sufficient attention to those factors that you identified as priorities in this case?	Yes	No	N/A (no requirement to address this)
				[identify first factor selected in 2.1.0]	0	0	0
				[identify second factor selected in 2.1.0]	0	0	0
				[identify third factor selected in 2.1.0]	0	0	0
				[identify fourth factor selected in 2.1.0]	0	0	0
				[identify fifth factor selected in 2.1.0]	0	0	0
2.1.3	FJI	SQS	1.3.1	There was insufficient planning for work to reduce reoffending because: (tick all that apply)	Plan not completed Plan not timely Plan did not meet the as Plan met the assessed n needs of the case Plan not sufficiently focu reoffending Plan not sequenced acco reoffending Plan not sequenced acco harm	eeds but not the sed on reducing ording to reducing	
					Initial plan addressed ins	sufficient	

					proportion of the sentence length	
					Insufficient planning for emotional or	
					mental health	
					Insufficient planning for physical health	
					Insufficient planning for substance misuse	
					Insufficient planning for ETE	
					Insufficient planning for care	
					arrangements	
					Insufficient attention given to positive	
					factors	
					Insufficient attention given to victims and	
					restorative justice	
					Objectives not clear	
					Goals not relevant or achievable	
					Insufficient response to diversity factors	
					Insufficient review following transfer in	
					CLA - Planning responsibility of home YOT	
					but not available	
					Other (please describe)	
2.2.1	FJI		1.2	Interventions module only: Did the initial planning for	Yes	0
				work to reduce reoffending clearly outline what interventions		
				were to be provided and how they were to be delivered?		
				(INT)	No (If NO include 2.2.1)	•
					No tribunda 22.	0
2.2.2	FJI		1.2	Interventions module only Diagning did not clearly	Unclear what interventions were planned	
2.2.2	LJI		1.2	Interventions module only: Planning did not clearly outline interventions to be delivered because: (tick all apply)	Unclear what interventions were planned	
				outline interventions to be delivered because. (tick all apply)	Unclear how interventions were to be	П
					delivered	
					Sequencing of interventions unclear	П
					Other (please explain)	
					Other (picase explain)	П
2.3.1	FJI	SQS	4.1.2	Did the initial planning give sufficient attention to barriers to	Yes	0
			1.2	engagement and diversity or potential discriminatory factors?	No (If NO include 2.3.2)	0
				[ESS] [INT]		
					N/A (CLA - planning undertaken by home	0
					YOT)	

2.3.2	FJI	SQS	4.1.2	Planning did not give sufficient attention to barriers to engagement and diversity factors because: (tick all that	Identified barriers not addressed within the plan	
				apply)	Child Looked After factors	
				αρρ'))	Age or maturity	
					Race or ethnicity	
					Disability	
					Girls or young women	
					Sexuality	
					Speech Language or Communication Needs	
					Other (please describe)	
2.4.1	FJI	SQS	4.1.1	Were the child or young person and their parent /carer or	Yes	0
2.1.1	131	000	1.1.1	significant others sufficiently involved in the planning? [ESS]	No (If NO include 2.4.2)	0
				ogon.ocom.ocom	N/A (CLA - planning undertaken by home	0
					YOT)	
2.4.2	FJI	SQS	4.1.1	The child or young person and their parent/carer were not	Child or young person not sufficiently	
				sufficiently involved in the initial planning because: (tick all	engaged in developing the plan	
				that apply)	Parent/carer not sufficiently engaged in	
					developing the plan	
					Significant others not sufficiently engaged	
					in developing the plan	
					Child or young person's views on priorities	
					not clearly reflected in plan	_
					Parent/carer's views not clearly reflected in	
					plan Significant other's views not clearly	П
					reflected in plan	
					Plan not sufficiently meaningful to children	
					or young people	
					Child or young person, parent carer and/or	
					significant others not provided with a copy	
					of the plan	
					Child Looked After – carer or social worker	
					not sufficiently involved in planning	
					Other (please describe)	
2.8.1	FJI	SQS	2.3.1	Was there sufficient planning at the start of the sentence for	Yes	0
				Da wa 22 a4 F /		

				work to manage risk of harm to others? [PP]	No (1f NO include 2.8.2) N/A (no identified risk of harm in this case or CLA with planning undertaken by home YOT)	0
2.8.2	FJI	SQS	2.3.1	There was insufficient planning in place to manage risk of	Risk Management Plan not completed	
				harm to others because: (tick all that apply)	Risk Management Plan not timely	
					Insufficient attention given to barriers to engagement	
					Victim issues not addressed sufficiently	
					Planned response insufficient	
					Planned response unclear	
					Insufficient attention given to diversity factors	
					Plan does not follow from assessment	
					Potential changes in risk of harm not	
					anticipated	
					Contingency plan insufficient	
					Information sharing arrangements unclear	
					Interventions not sequenced according to risk of harm	
					Required interventions not included in the sentence plan	
					Custodial case – planning for release insufficient	
					MAPPA issues	
					Plans not clearly communicated with	
					others	_
					Plan not linked with others' plans	
					CLA - Planning responsibility of home YOT	
					but not available	
					Other (please explain)	
2.9.1	FJI	SQS	2.3.1	In this custodial case was there sufficient planning in place	Yes	0
				throughout the custodial period for work to address risk of	No (If NO include 2.9.2)	0
				harm to others? [PP]	N/A (no identified risk of harm in this case or CLA where planning undertaken by home YOT)	0

2.9.2	FJI	SQS	2.3.1	There was insufficient planning in place during the custodial	Risk Management Plan not completed	
				phase to manage risk of harm to others because: (tick all	Risk Management Plan not timely	
				that apply)	Insufficient attention given to barriers to	
					engagement	
					Victim issues not addressed sufficiently	
					Planned response insufficient	
					Planned response unclear	
					Insufficient attention given to diversity	
					factors	
					Plan does not follow from assessment	
					Potential changes in risk of harm not	
					anticipated	
					Contingency plan insufficient	
					Information sharing arrangements unclear	
					Interventions not sequenced according to	
					risk of harm	
					Required interventions not included in the	
					sentence plan	
					Custodial case – planning for release	
					insufficient	
					MAPPA issues	
					Plans not clearly communicated with	
					others	
					Other (please explain)	
					V 1 /	
2.10.1	FJI	SQS	2.3.1	Was there sufficient engagement with MAPPA in the	Yes	0
				assessment and planning for this case? [PP]	No (If NO include 2.10.2)	0
				1 3		
2.10.2	FJI	SQS	2.3.1	There was insufficient engagement with MAPPA because:	Case not recognised as MAPPA eligible	
				(tick all that apply)	Initial MAPPA level not correct	
				11 37	Case not referred or notified to MAPPA	
					MAPPA notification or referral not timely	
					MAPPA meeting not held	
					YOT did not attend MAPPA meeting	
					MAPPA Risk Management Plan insufficient	
					Children's services did not attend MAPPA	
					Other key agencies did not attend MAPPA	
					Other (please explain)	
					tans. (product on prairie)	

2.12.1	FJI	SQS	3.3.1	Was there sufficient planning at the start of the sentence for work to address safeguarding and vulnerability? [PYP]	Yes No (If NO include 2.12.3) N/A (no needs identified in this case or CLA where planning undertaken by home YOT) (If ticked go to 2.13.1)		0 0 0
2.12.2	FJI		3.3.1	Specifically, was there sufficient planning to address safeguarding or vulnerability related:	Yes	No	N/A (no need in this case)
				a) Emotional or mental health	0	0	0
				b) Physical health	0	0	0
				c) Substance misuse - alcohol	0	0	0
				d) Substance misuse – drugs and other	0	0	0
				e) Employment Training or Education	0	0	0
				f) Care arrangements (living and parenting)	0	0	0
2.12.3	FJI	SQS	2.3.1	There was there insufficient planning in place to address safeguarding and vulnerability because: (tick all that apply)	Vulnerability Mana completed	agement Plan not	
				sareguarumy and vulnerability because. (tick all that apply)	Vulnerability Mana	agement Plan not timely ion given to barriers to	
					Planned response		
					Planned response		
					Insufficient attent factors	ion given to diversity	
					Plan does not follo	ow from assessment	
					Potential changes		
					Contingency plan		
						ng arrangements unclear	
					Interventions not Safeguarding	sequenced according to	
					Required interven sentence plan	tions not included in the	

					Custodial case – planning for release insufficient	
					Plans not clearly communicated with others	
					Plan not linked with others' plans	
					Child Looked After – carer or social worker not involved in planning	
					Insufficient attention of risk of self-harm or suicide	
					Insufficient planning for emotional or mental health	
					Insufficient planning for physical health	
					Insufficient planning for substance misuse	
					Insufficient planning for ETE	
					Insufficient planning for care	
					arrangements	
					CLA - Planning responsibility of home YOT but not available	
					Other (please explain)	
2.13.1	FJI	SQS	3.3.1	In this custodial case was there sufficient planning in place	Yes	0
				throughout the custodial period for work to address	No (If NO include 2.13.2)	0
				safeguarding and vulnerability? [PYP]	N/A (no needs identified in this case or CLA where planning undertaken by home YOT)	0
2.13.2	FJI	SQS	3.3.1	There was insufficient planning during the custodial phase to address safeguarding and vulnerability needs because: (tick all that apply)	Custodial institution not informed of vulnerability at start of sentence	
					Custodial institution did not respond to identified needs	
					YOT staff did not contribute sufficiently	
					Children's social care did not contribute sufficiently	
					Others did not contribute sufficiently	
					Plan was not produced	
					Plan was not timely	
					Plan was of insufficient quality	
					Other (please explain)	

2.15.1	FJI	SQS	1.3.3 1.2	Was there sufficient review of planning for work to reduce reoffending [RR] [INT]	Yes No ^(If NO include 2.15.2) N/A (No reviews yet required)	0 0 0
2.15.2	FJI	SQS	1.3.3	There was insufficient review of planning to reduce reoffending because: (tick all that apply)	Reviews not undertaken Reviews not timely Reviews of insufficient quality Plans not revised as required Other (please explain)	
2.16.1	FJI	SQS	2.3.1	Was there sufficient review, throughout the sentence, of planning for work to manage and reduce risk of harm to others? [PP]	Yes No ^(If NO include 2.16.2) N/A (No reviews yet required)	0 0 0
2.16.2	FJI	SQS	2.3.1	There was insufficient review of planning to manage risk of harm to others because: (tick all that apply)	Reviews not undertaken Reviews not timely Reviews of insufficient quality Plans not revised as required Other (please explain)	0
2.17.1	FJI	SQS	3.3.1	Was there sufficient review, throughout the sentence, of planning for work to address safeguarding and vulnerability needs? [PYP]	Yes No (If NO include 2.17.2) N/A (No reviews yet required)	0 0 0
2.17.2	FJI	SQS	3.3.1	There was insufficient review of planning to address safeguarding and vulnerability because: (tick all that apply)	Reviews not undertaken Reviews not timely Reviews of insufficient quality Plans not revised as required Other (please explain)	
2.20	FJI	SQS		Please summarise the key characteristics of this case that influenced your judgements on the quality of planning. Include comments on any strengths. In FJI please include specific comments pertinent to the additional modules being inspected. Always be clear which domain (RoH etc) each comment refers to. (max 200 words)	[free text entry]	

View 3 – Delivery & Review of Interventions

Question	Use in FJI?	Use in SQS?	Criterion	Question	Answer options	
3.1.1	FJI	343.	1.4.2 1.3	Were the interventions delivered to reduce reoffending sufficiently consistent with the identified reasons for offending and the planning of work in the case? [RR] [INT]	Yes No ^(If NO include 3.1.2)	0
				chording and the planning of northin the edger [.m.] [.m.]	N/A – CLA with all interventions delivered by another YOT	0
3.1.2	FJI		1.4.2	Delivered interventions were not consistent with the reasons	Assessment not produced	
				for offending and planning because: (tick all that apply)	Plan not produced	
				0 1 0	No clear link between assessment and	
					interventions delivered	
					No clear link between plan and	
					interventions delivered	
					No interventions delivered in this case	
					Planned interventions not delivered	
					Unclear what interventions were delivered	
					Other (please explain)	
3.2.1	FJI		1.4.2	Was there sufficient review of interventions delivered to	Yes	0
			1.3	reduce reoffending? [RR] [INT]	No (If NO include 3.2.2)	0
					N/A (No review required)	0
3.2.2	FJI		1.4.2	There was insufficient review of delivered interventions to	Reviews not undertaken	
				reduce reoffending because: (tick all that apply)	Reviews not timely	
					Reviews of insufficient quality	
					Delivery not adapted as required	
					Not all interventions were reviewed	
					Other (please explain)	
3.3.1	FJI		2.4	Were the interventions delivered to manage risk of harm to	Yes	0
				others consistent with the identified risk of harm to others	No (If NO include 3.3.2)	0
				and planning of work in the case? [PP]	N/A (no interventions required or CLA with all interventions delivered by another YOT)	0
3.3.2	FJI		2.4	Delivered interventions to manage risk of harm to others	Assessment not produced	
				were not consistent with the identified risk of harm to others Page 28 of 56 IYOW Case IYOW Assessment Tool v16 17 Jul 15 doc	Plan not produced	

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		and planning because: (tick all that apply)	No clear link between assessment and	
			interventions delivered No clear link between plan and interventions delivered	
			No interventions delivered in this case Planned interventions not delivered	
			Other (please explain)	
3.4.1	FJI	Were the required interventions delivered throughout the sentence to manage risk of harm to others? [PP]	Yes No ^(If NO include 3.4.2)	0
		v	N/A (No interventions required or CLA with all interventions delivered by another YOT)	0
3.4.2	FJI	Required interventions to manage risk of harm to others were not delivered because: (tick all that apply)	Required interventions not delivered by YOT	
			Required interventions not delivered during custodial phase	
			Required interventions not delivered by others	
			Required interventions not recognised by case manager	
			Interventions not adapted as required	
			Planned interventions not delivered	
			Other (please explain)	
3.4.3	FJI	In this custodial sentence were the required interventions delivered throughout the custodial phase to manage risk of harm to others?	Yes	0
		nami to othors:	No (If NO include 3.4.4)	0
			N/A (No interventions required)	0
3.4.4	FJI	Required interventions to manage risk of harm to others were not delivered during the custodial phase because: (tick all that apply)	Required interventions not delivered by YOT	
		11.77	Required interventions not delivered by secure establishment	
			Required interventions not delivered by others	
			Required interventions not recognised by	

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				case manager Interventions not adapted as required Planned interventions not delivered Other (please explain)	
3.5.1	FJI	3.4	Were the interventions delivered throughout the sentence to address safeguarding and vulnerability consistent with the identified needs and planning of work in the case? [PYP]	Yes No ^(If NO include 3.5.2)	0
				N/A (no interventions required or CLA wit all interventions delivered by another YO	
3.5.2	FJI	3.4	Delivered interventions to address safeguarding and	Assessment not produced	
			vulnerability were not consistent with the identified needs	Plan not produced	
			and planning because: (tick all that apply)	No clear link between assessment and interventions	
				No clear link between plan and interventions	
				No interventions delivered in this case	
				Planned interventions not delivered	
				Other (please explain)	
3.6.1	FJI	3.4	Were the required interventions delivered throughout the	Yes	0
			sentence to address safeguarding and vulnerability? [PYP]	No (If NO include 3.6.2)	0
				N/A (No interventions required or CLA with all interventions delivered by another YO	
3.6.1.1	FJI	3.4	Specifically, were sufficient interventions delivered, or where appropriate referrals made, to address safeguarding or vulnerability related:	Yes No	N/A (no requirement to address this)
			a) Emotional or mental health	0 0	0
			b) Physical health	0 0	0
			c) Substance misuse - alcohol	0 0	0
			d) Substance misuse – drugs and other	0 0	0

			e) Employment Training or Education	0	0	0
			f) Care arrangements (living and parenting)	0	0	0
3.6.2	FJI	3.4	Required interventions to address safeguarding and vulnerability were not delivered because: (tick all that apply)	Required interventions no YOT	t delivered by	
			Tamorability from the dollror od booddoor (tion all that appriy)	Required interventions no custodial phase	t delivered during	
				Required interventions no others	t delivered by	
				Required interventions no case manager	t recognised by	
				Interventions not adapted	as required	
				Planned interventions not	delivered	
				Referral not made or inter		
				delivered – physical health		
				Referral not made or inter delivered – emotional/mer		
				Referral not made or inter		
				delivered – substance mis		П
				Referral not made or inter		
				delivered – children's soci	al care	
				Referral not made or inter	vention not	
				delivered – ETE		
				Other (please explain)		
3.6.3	FJI	3.4	In this custodial sentence were the required interventions delivered throughout the custodial phase to address safeguarding and vulnerability?	Yes		0
			saregulating and vulnerability:	No (If NO include	e 3.6.4)	0
				N/A (No intervention		0
3.6.4	FJI	3.4	Required interventions to address safeguarding and vulnerability were not delivered during the custodial phase because: (tick all that apply)	Required interventions (not delivered by	
				Required interventions i	•	
				custodial insti Required interventions i others		
				Utild 5		

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				'	vontions not roodynic	50 0 55
					case manager	
					s not adapted as requ	
				Planned into	erventions not delive	red 🗆
				Referral not	made or intervention	ı not 🗆
				deliver	ed – physical health	
				Referral not	made or intervention	ı not 🗆
				delivered –	emotional/mental he	alth
				Referral not	made or intervention	ı not 🗆
				delivered	d – substance misuse	
				Referral not	made or intervention	ı not 🗆
				delivered	– children's social ca	re
				Referral not	made or intervention	ı not $\qquad \square$
				d	elivered – ETE	
				Othe	r (please explain)	
					46	
3.7.1	FJI	1.4.2	Was the necessary work done with this child or young	Yes	No (If any answered	N/A (not required at
		1.3	person to reduce their reoffending - to address the		NO include 3.7.2)	this stage or ALL
			following factors that you identified as priorities in this case:			work delivered by
						another YOT)
			[identify first factor selected in 2.1.0] [RR] [INT]	0	0	0
			[identify second factor selected in 2.1.0] [RR] [INT]	0	0	0
					_	_
			[identify third factor selected in 2.1.0] [RR] [INT]	0	0	0
				_	_	_
			[identify fourth factor selected in 2.1.0] [RR] [INT]	0	0	0
				•	•	•
			[identify fifth factor selected in 2.1.0] [RR] [INT]	0	0	0
070	FII	1.10	71	01 1 1		1
3.7.2	FJI	1.4.2	The required work was not done because: (tick all that	•	n assessment or plani	ning 🗆
			apply)	not recognised		
					rces not available	
				No clear reason		
				•	and partner agency d	id not \square
				agree on priorit	•	
				•	entions not available	in 🗆
				community		
				Required interve	entions not available	in 🗆

Required interventions not recognised by

				custody Agreed interventions not delivered in custody Commencement of interventions not timely Insufficient record of work done Insufficient effort to ensure work was done Other (please explain)	
3.8.1	FJI	1.4.1 1.3	a) Were the materials and other resources used in the community, for work to reduce reoffending, of good quality? [RR] [INT]	Yes No N/A (no interventions delivered by this YOT)	0 0 0
			b) Were interventions in the community sufficiently delivered as their design intended them? [RR] [INT]	Yes No N/A (no interventions delivered by this YOT)	0 0 0
			c) Did delivery of work to reduce reoffending give sufficient attention to restorative justice? [RR] [INT]	Yes No N/A (no opportunity to deliver interventions or restorative justice not appropriate)	0 0 0
			d) Was sufficient attention given to reinforcing positive factors in work to reduce reoffending? [RR] [INT]	Yes No N/A (no relevant positive factors or interventions delivered by another YOT)	0 0 0
			e) Was there an appropriate balance between reduction in reoffending, managing risk of harm and addressing vulnerability in the delivery of work in the community? [RR] [INT]	Yes No (If NO include 3.8.1.1) N/A (no opportunity to deliver interventions or interventions delivered by another YOT)	0 0 0
3.8.1.1	FJI		The balance of work delivered was not appropriate because: (tick all that apply)	Insufficient attention given to interventions to reduce reoffending Insufficient attention given to risk of harm interventions Insufficient attention given to vulnerability interventions	0

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				Case manager too focussed on fire-fighting Other (please explain)	
3.8.2	FJI	1.4.1 1.3	Overall, was the work delivered to address reoffending of sufficient quality, and delivered in accordance with the principles of effective practice? [RR] [INT]	Yes No ^(If NO include 3.8.3)	0
				N/A (CLA where ALL interventions delivered by another YOT)	0
3.8.3	FJI		The delivered work was not of sufficient quality because: (tick all that apply):	No clear basis for the selection of interventions	
				No clear structure to the delivery of interventions	
				Interventions not sequenced appropriately	
				Resources used not appropriate to the child	
				or young person	
				Inappropriate interventions delivered	
				Inappropriate interventions delivered due	
				to local policies	
				Unclear what interventions were delivered	
				Response of the child or young person	
				unclear	
				Insufficient preparation of the child or	
				young person	
				Aims and objectives of interventions unclear	
				Intensity or duration of delivery insufficient	
				Insufficient attention given to practicing	
				new skills or changed behaviours	
				Delivery not in line with effective practice	
				Insufficient record of work done	
				Insufficient effort to ensure work was done	
				Other (please explain)	
3.8.4	FJI	1.4.1	Child Looked After where some or all of delivery undertaken by another Host YOT: Was there sufficient active engagement with other YOT(s) to ensure that work to reduce reoffending was delivered and reviewed? [RR] [INT]	Yes	0

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		1.3		No (please explain in 3.20)	0
3.10.1	FJI		Was this custodial case delivered as a single integrated sentence? [RR]	Yes No (If NO include 3.10.3)	0
3.10.2	FJI		Specifically, was sufficient work undertaken to address reoffending during the custodial phase?	Yes No	0
3.10.3	FJI		The custodial case was not sufficiently integrated because: (tick all that apply)	Custodial plan did not provide a clear plan for the whole sentence	
			(tiok dii triat apprij)	Custodial plan did not sufficiently address assessed likelihood of reoffending	
				Case manager not sufficiently involved in custodial planning	
				Other staff not sufficiently involved in custodial planning	
				Ineffective communication between workers in custody and the community	
				Insufficient involvement between community staff and child or young person	
				Insufficient attention given to resettlement during the custodial phase	
				ROTL not used when required to support resettlement	
				Insufficiently link between work undertaken in custody and work undertaken in the	
				community. Required interventions not delivered in custody	
				Required interventions not available in custodial institution	
				Other (please explain)	
3.12.1	FJI	2.4	Was there sufficient active and effective management of risk of harm to others throughout the delivery of work in this case [PP]	Yes No (If NO include 3.12.3) N/A (no risk of harm work required in this case)	0 0 0
3.12.2	FJI	2.4	Specifically were MAPPA and other multi-agency	Yes	0
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			arrangements effective in the management of risk of harm to others in this case? [PP]	No (If No include 3.12.3) N/A (No requirement for active engagement in MAPPA or other multiagency meetings)	0
3.12.3	FJI	2.4	Work to manage risk of harm to others was insufficiently active or effective because: (tick all that apply)	Risk of harm work given insufficient priority Interventions not delivered as planned Required interventions not delivered Insufficient engagement with partners delivering other interventions Interventions delivered were not of good quality Insufficient engagement with MAPPA MAPPA not effective	
				Insufficient engagement with other multi- agency forums Insufficient priority given to protecting identifiable victims Risk of harm not reviewed sufficiently Changes in risk of harm factors not recognised Changes in risk of harm factors not responded to appropriately Home visits not carried out as required CLA – insufficient engagement with Host YOT to ensure that services were delivered Other (please explain)	
3.14.1	FJI	3.4	Was there sufficient active and effective management of safeguarding and vulnerability throughout the delivery of work in this case? [PYP]	Yes No (If No include 3.14.2) N/A (no work required to address safeguarding)	0 0 0
3.14.2	FJI	3.4	Work to address safeguarding and vulnerability was insufficiently active or effective because: (tick all that apply)	Safeguarding work given insufficient priority Immediate action not taken to protect the child or young person (if ticked please describe in view 6) Immediate action not taken to protect any	

				other identified child	d or young person iew 6)	(if
				Required referrals n	ot made	
				Interventions were		
				planned		
				Required intervention	ons not delivered	
				Insufficient engager		S 🗆
				delivering other inte	erventions	
				Interventions delive	red not of good q	uality 🛛
				Joint working with o	:hildren's social ca	re \Box
				services not effectiv	е	
				Insufficient engager	ment with other m	ıulti- 🗆
				agency forums		
				Vulnerability and sa	feguarding not	
				reviewed sufficiently	1	
				Changes not recogn	ised	
				Changes not respon	ided to appropriate	ely 🗆
				Insufficient engager	ment with custodia	al 🗆
				establishment		
				Home visits not carr		
				CLA – insufficient er		
				YOT to ensure that		vered
				Other (please explai	in)	
3.16.1	FJI	1.5	Was this case transferred to/ from another YOT or to	Yes		0
			Probation at any time during this sentence?	No (If NO go to 3.18.1)		0
			,			
3.16.2	FJI		What type of transfer was this: (tick all that apply)	Transfer out to Prob		
				Transfer out to anot		
				Transfer in from and		
				Temporary caretakii CLA)	ng into YOT (inclu	ding □
				Temporary caretakii	na out of YOT	
				(including CLA)	ng out or 101	2
				Other (describe at 3	3.20)	
	_				(If any NO	
3.16.3	FJI	1.5	If so, was joint working effective in facilitating a smooth	Yes	No (If any NO	N/A (not relevant
			transfer and continuity of services to address:	•	include 3.16.4)	to this case)
			Reoffending? [RR]	0	0	0
			Page 37 of 56			

			Risk of harm to others? [PP] Safeguarding? [PYP] Effective delivery of the sentence? [ESS]	0 0 0	0 0 0	0 0 0
3.16.4	FJI	1.5	Please explain why joint working was ineffective: (tick all		et the requirements of	
			that apply)	local protocols No clear agreement responsibilities	about timing or	
				Required information others	not provided to	
				Required information others	not received from	
				Information provided	d was not timely	
				Information received	•	
				Unclear whether tran	•	
				The transfer was ina		
				vulnerability of the c		
				No clear discussion v	3 01	
				arrangements		
				•	of the child or young	
				person in the transfe		
				Lack of involvement	•	
				intervention provider	•	
				arrangements	o in the transfer	
				Insufficient commun	ication with	П
				parent/carer		_
				Insufficient commun	ication with child or	
				young person	isation with shind of	_
				Insufficient attention	to diversity factors	
					maintaining motivation	
				and engagement dur	•	
					e caretaking agreement	
				in place	our claiming agreement	П
				Other (please explain	า)	
				other (piedae expidii	'/	П
3.18.1	FJI	4.1.1 1.3	Were children and young people, and their parents/carers or significant others meaningfully and sufficiently engaged throughout the delivery of the sentence? [ESS] [INT]	Yes No ^(If NO include 3.18.2)		0

In custodial case there was insufficient engagement with the child or young person outside of the formal planning meetings In custodial case there was insufficient engagement with the parents/carers or significant others outside of the formal planning meetings Children and young people not sufficiently involved in reviews of progress Parents/carers or significant others not sufficiently involved in reviews of progress Reviews of progress did not reflect the views of children and young people, parents/ carers or significant others Parents/carers or significant others not sufficiently involved in delivery of interventions Staff did not develop and maintain a positive relationship with the child or young person Staff did not develop and maintain a positive relationship with the parents/carers or significant others Insufficient evidence of motivation being provided to the child or young person Insufficient evidence of positive behaviour

3.20a FJI

Please summarise the key characteristics of this case that influenced your judgements on the quality of delivery and

[free text entry]

Insufficient support provided to the child or

Child Looked After – social worker not

sufficiently involved in planning in the

Child Looked After – social worker not

sufficiently involved in planning in custody

being reinforced

young person

community

Other (please explain)

review in this case. Include comments on any strengths that you found. Always be clear which domain (RoH etc) each comment refers to. (max 200 words)

3.20b FJI

Please describe the work to reduce offending that actually took place – what interventions were used, how it was delivered, what materials were used, how they were made individualised, how the child or young person responded to the work and how it was then developed. Include comments on good practice and areas for improvement. (max 200 words)

[free text entry]

View 4 – Initial Outcomes

Question	Use in FJI?	Use in SQS?	Criterion	Question	Answer options	
4.1	FJI	SQS	2.1	In your opinion has the risk of harm to others to others been reduced?	Yes No N/A (No indicators of risk of harm in this case) (If N/A go to 4.6)	0 0 0
4.2.1	FJI	SQS	2.1	Where there is an identifiable victim or identifiable potential victim is there sufficient evidence that the risk of harm to them has been effectively managed? [PP]	Yes No (If No include 4.2.2) N/A (No identifiable victim in this case)	0 0
4.2.2	FJI	SQS	2.1	Risk of harm to identifiable victims has not been managed sufficiently because: (tick all that apply)	Victim not identified by YOT Assessment insufficient Planning insufficient Required work not undertaken by YOT Required work not undertaken by others MAPPA issues Other (please explain)	
4.3.1	FJI		2.1	Overall, has the YOT done enough to keep to a minimum this individual's risk of harm to others? [PP] Before answering review your answers to previous key questions particularly 1.10.1, 2.8.1, 2.9.1, 2.10.1, 3.3.1, 3.4.1 3.12.1, 3.12.2 and 4.2.1. If your overall answer differs from any of these explain this in 4.20.	Yes No ^(If No include 4.3.2)	0 0
4.3.2	FJI		2.1	The YOT has not managed risk of harm to others sufficiently because: (tick all that apply)	Victim not identified by YOT Assessment insufficient Planning insufficient Required work not undertaken by YOT Required work not undertaken by others Insufficient attention given to sustainability MAPPA issues Other (please explain)	

4.4.1	FJI	SQS	2.5	Was oversight by management effective in ensuring the quality of risk of harm work in this case? [PP]	Yes No (If No include 4.4.2) N/A (no requirement for management involvement)	0 0 0
4.4.2	FJI	SQS	2.5	Management oversight of risk of harm work was not effective because: (tick all that apply)	Oversight required but not provided Important deficiencies in assessment not addressed	0
					Important deficiencies in planning not addressed	
					Managers did not ensure that required services delivered by YOT	
					Managers did not ensure that required services delivered by others	
					Internal forum ineffective in ensuring the quality of services	
					Oversight not timely Other (please explain)	
4.6	FJI	SQS	2.1	In your opinion has there been a reduction in factors linked to safeguarding?	Yes No N/A (No safeguarding factors in this case) (If N/A go to 4.10)	0 0 0
4.7.1	FJI		3.1	Overall, has the YOT done enough to keep this child or young person safe, either from themselves or from others? [PYP]	Yes No (If No include 4.7.2)	0
				Before answering review your answers to previous key questions particularly 1.13.1, 1.14.1, 2.12.1, 2.13.1, 3.5.1, 3.6.1 and 3.14.1. If your overall answer differs from any of these explain this in 4.20.		
4.7.2	FJI		3.1	The YOT has not done enough to keep this child or young people safe because: (tick all that apply)	Assessment insufficient Planning insufficient Required work not undertaken by YOT Required work not undertaken by others Insufficient attention given to sustainability Insufficient engagement/co-ordination with other agencies/workers	

					Other (please explain)	
4.8.1	FJI	SQS	3.5	Was oversight by management effective in ensuring the quality of work to address safeguarding and vulnerability in	Yes No (If No include 4.8.2)	0
				this case? [PYP]	N/A (no requirement for management involvement)	0
4.8.2	FJI	SQS	3.5	Management oversight of safeguarding work was ineffective	Oversight required but not provided	
				because: (tick all that apply)	Deficiencies in assessment not addressed	
					Deficiencies in planning not addressed	
					Managers did not ensure that required services delivered by YOT	
					Managers did not ensure that required services delivered by others	0
					Internal forum ineffective in ensuring the quality of services	0
					Oversight not timely	
					Other (please explain)	0
4.10.1	FJI	SQS	4.3	Overall, did the YOT give sufficient attention to the health	Yes	0
			1.3	and well-being outcomes for this child or young person (in so	No (If No include 4.10.2)	0
				far as this may act as a barrier to successful outcomes from the sentence)? [ESS] [INT]	N/A (no relevant health or well-being facto in this case)	ors O
4.10.2	FJI	SQS	4.3	Insufficient attention was given to health and well-being	Required referrals not made	
				because:	Insufficient support provided by staff	
					Agencies did not coordinate their work wel	l o
					Required interventions not delivered	
					Insufficient attention given to continuity of	
					services on release from custody	
					Insufficient attention given to ensuring tha	t 🛮
					improvements are sustainable	_
					Insufficient attention to effective re- integration into the community	
					Other	
4.12	FJI		1.1 1.4	In the opinion of the inspector, has sufficient overall progress been made at this stage, where required, in relation to the following key factors which you identified as priorities to		A (not relevant t this time in this case)
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$reduce\ reoffending?$

			[identify first factor selected in 2.1.0] [RR] [INT]	0	0	0
			[identify second factor selected in 2.1.0] [RR] [INT]	0	0	0
			[identify third factor selected in 2.1.0] [RR] [INT]	0	0	0
			[identify fourth factor selected in 2.1.0] [RR] [INT]	0	0	0
			[identify fifth factor selected in 2.1.0] [RR] [INT]	0	0	0
4.13.1	FJI	1.1	Does there appear to have been a reduction (since the start of the sentence/ release from custody) in: a) Frequency of offending [RR]	Yes No N/A (insufficient evidence	to assess this)	0 0 0
			b) Seriousness of offending [RR]	Yes No N/A (insufficient evidence	to assess this)	0 0 0
4.13.2	FJI	1.4	Interventions module only: Did the delivery of interventions make a sufficient contribution to reducing	Yes		0
			reoffending? [INT]	No (If No include 4.13.3)		0
				N/A (insufficient evidence CLA with all interventions another YOT)		0
4.13.3	FJI		Interventions module only: If no, this was due to: (tick all that apply)	Insufficient access to spec services or staff (please e		
			шат аррту)	Interventions not suitable language or communication	for speech	
				Interventions not suitable factors (please explain)		
				Gaps in availability of inte explain)	rventions (please	
				Delivered interventions w	ere of poor quality	

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				Insufficient interventions were delivered Other (please explain)	
4.14.1	FJI	1.1 1.4	Has the YOT given sufficient attention to ensuring that positive outcomes are sustainable following the end of the sentence? [RR] [INT]	Yes No ^(1f No include 4.14.2) N/A (too early in the sentence or no positive	0 0 0
				outcomes)	
4.14.2	FJI		Insufficient attention was given to ensuring positive outcomes are sustainable because: (tick all that apply)	Insufficient consideration given to exit strategy	
				Insufficient reinforcement of progress made	
				Insufficient consideration of internal	
				strategies for use post sentence Lack of referrals to other agencies	П
				Lack of signposting	
				Other (please explain)	
14/4	E.II.	440			•
4.16.1	FJI	4.1.2	Overall, has sufficient attention been given to identifying and	Yes No ^(If No include 4.16.2)	0
			responding to diversity factors and actual or potential barriers to engagement? [ESS]	NU ·	U
4.16.2	FJI		Insufficient attention was given to barriers to engagement	Insufficient assessment	
			because: (tick all that apply)	Required plans not put in place	
			(113)	Insufficient attention to Speech Language or	
				Communication needs	
				Assessments or plans not clearly	
				communicated to others	
				Insufficient attention given to vulnerability	
				Insufficient attention given to physical health	
				Insufficient attention given to emotional or	
				mental health	ш
				Insufficient attention given to well-being	
				Disability factors	
				Age/maturity factors	
				Race/ethnicity factors	
				Girls/young women factors	
				Child Looked After factors	
				Changes not responded to sufficiently	

					Other (please describe at end of section)	
4.17.1	FJI		4.2 1.3	Was sufficient attention given to ensuring that the child or young person engaged with the YOT and the requirements of the sentence were met? [ESS] [INT] [NB: This question includes motivational work to support engagement or compliance]	Yes No (If No include 4.17.2) N/A (no opportunity to engage with child or young person)	0 0 0
4.17.2	? FJI			Insufficient attention was given to ensuring the requirements of the sentence were met because: (tick all that apply)	Reporting requirements insufficient Unclear that child or young person, or parent/carers understood what was expected of them	
					Additional requirements not addressed	
					Insufficient motivation given to child or	
					young person	
					Insufficient attention to barriers to compliance	
					Impact of YOT location not recognised	
					Impact of learning difficulties not recognised	
					Scaled Approach level incorrect	
					Insufficient response to compliance difficulties	
					Other (please explain)	
4.18.0) FJI		4.2	Was the engagement of the child or young person with the work of the YOT sufficiently maintained or improved? NB : This is a temporary question added for the information of the LI.	Yes (engagement by the child or young person was good and was maintained)	0
					Yes (engagement by the child or young person improved sufficiently)	0
					No (engagement by the child or young person did not improve sufficiently)	0
					No (engagement by the child or young	0
					person deteriorated) N/A (CLA where sentence delivered entirely by another Host YOT)	0
4.18.1	FJI	SQS	4.2	Did the child or young person comply with the requirements of the sentence?	Yes (fully) Yes (after initial difficulties) (If ticked include	0
				D 1/ -(T/		

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					No (If No include 4.18.2) N/A (CYP in custody or CLA where sentence delivered entirely by another Host YOT)	0 e 0
4.18.2	FJI	SQS	4.2 1.3	Where the child or young person has not fully complied was the response of the YOT sufficient? [ESS] [INT]	Yes (and child or young person then complied)	0
					Yes (but child or young person did not comply)	0
					Yes (and order was returned to court) No (If No include 4.18.3)	0
4.18.3	FJI	SQS	4.2	Why was the response of the YOT insufficient? (tick all that	Breach action not taken when required	
				apply)	Breach action taken but not timely Unacceptable misses not recognised	
					Unacceptable behaviour not addressed	
					Insufficient response to unacceptable misses	
					Insufficient efforts to understand reasons for non compliance	
					Insufficient engagement with parents/carer or significant others to support compliance	
					Other (please explain)	
4.19.1	FJI	SQS		Since the start of the sentence/release from custody has this child or young person:	Don't know (because Yes no effective monitoring was undertaken)	No
				a) come to the notice of the police for alleged offending?	O (If yes include 4.19.2)	0
				b) been arrested?	O (If yes include 4.19.2)	0
				c) been charged with an offence?	O (If yes include 4.19.2)	0
				d) received a caution or another out of court disposal?	0 (If yes include 4.19.2) 0	0
				e) been convicted of an offence (excluding breach)?	O (If yes include 4.19.2) O (If yes include 4.19.2)	0
				f) been convicted of breach of their order/licence? g) received an adjudication whilst in custody (custodial sample only)	O (If yes include 4.19.2) O (If yes include 4.19.2)	0
				Please note that these questions relate to all offences, including those allegedly committed before the start		
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			of the sentence? Whenever you answer YES please explain in 4.20			
4.19.2	FJI	SQS	Was the response of the YOT to these incident(s) sufficient? (ESS)	Yes		
				No (please explain in 4.20)		
4.19.3	FJI	SQS	Overall, in the opinion of the inspector, is this child or young person less likely to re-offend than they were when the sentence started? (RR) (In all cases please explain your reasons in Q4.20)	Yes (less likely to reoffend)	0	
			(· · · · · · · · · · · · · · · · · · ·	No (no identifiable change) No (more likely to reoffend) N/A (insufficient evidence to assess this. Please explain in 4.20)	0 0 0	
				N/A (too early in sentence to assess this)	0	
4.20	FJI	SQS	Please comment as appropriate on the achievement of initial outcomes in this case. Always comment on how the case manager monitored and responded to alleged or proven reoffending, and what systems were in place to support this. Include comments on any strengths that you found. In FJI please include specific comments pertinent to the additional modules being inspected, including whether outcomes were positive or opportunities were missed. If there were positive outcomes please identify, if possible, any element(s) of the work that may have led to that. [maximum 200 words]			
4.21	FJI		Please identify the key enablers to successful outcomes that you have identified in this case (if any), describing what they were, why they made a positive difference to the outcome and what the specific outcome was. Please also consider enablers arising from partnership working. NB: you may also wish to write this up as an example of positive practice in view 6 [maximum 2300 words]			
4.22	FJI		Please identify the key barriers to successful outcomes that you have identified in this case (if any), describing what they were, why they made a positive difference to the outcome and what the specific outcome was. Please also consider			

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barriers arising from partnership working. NB: you may also wish to write this up as an example of positive practice in view 6 [maximum 2300 words]

View 5 – Management of Practice

Question	Use in FJI?	Use in SQS?	Criterion	Question		Answer options	
5.1.1	FJI			In the opinion of the inspector, did the case manager have access to sufficient resources in this case for work to :	Yes	NO (If NO to any include 5.1.2)	N/A (no resources required)
			1.5.1	a) reduce reoffending? [RR] [INT]	0	0	0
			2.5.1	b) manage risk of harm to others? [PP]	0	0	0
			3.5.1	c) address safeguarding needs in this case? [PYP]	0	0	0
5.1.2	FJI			If No, where were the gaps in resources: (tick all that apply)	Insufficient access services or staff (If	to specialist or univ	ersal 🗆
					Interventions not s language or comm	•	
					• •	suitable for other div	versity \Box
						of interventions (If	shaded \Box
					Other (please expl	ain)	
5.1.3	FJI			Which services were there insufficient access to?: (tick all that	Emotional or Menta	al Health	
				apply)	Physical health		
					Substance Misuse		
					Substance Misuse	•	
					, ,	ng or Education pre	
					1 7	ng or Education pos	st 16 🗆
					Children's social ca	re services	
					Parenting services		
					Accommodation se		
					Sexually Harmful b		
					Other (please expl	ain)	
5.1.4	FJI		1.5.1	Where were the gaps in availability of interventions? (tick all	Living arrangemen	ts	
				that apply)	Family & Personal		
				***	Education, Training	•	
					Neighbourhood	. , .	
					Lifestyle		
					Substance misuse	- alcohol	

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					Substance misuse – drugs and other Physical Health Emotional/ mental health Perception of self and others Thinking and behaviour Attitudes to offending Motivation to change	
5.1.5	FJI			Which diversity factors were key interventions not suitable for: (tick all that apply)	Age or maturity Race or ethnicity Girls or young women Disability Child Looked After Sexuality Other (please explain)	
5.2	FJI	SQS	4.4.2	In the opinion of the inspector is their evidence that staff supervision or other quality assurance arrangements have made a positive difference to this case?	Yes No N/A (no specific supervision or QA input required)	0 0 0
5.3	FJI	SQS	1.5.1, I.3 2.5.1 3.5.1 4.4.2, I.3	Inspectors please note: all subsequent questions apply to the overall performance of the YOT, NOT to the specific case just inspected. In the opinion of the inspector, does the case manager have a sufficient understanding of: a) The principles of effective practice? [RR] [INT] b) Local policies and procedures for the management of risk of harm to others? [PP] c) Local policies and procedures for the management of Safeguarding? [PYP] d) Local policies and procedures for supporting effective engagement and responding to non-compliance? [ESS] [INT]	Yes O O O	No 0 0 0
5.4.1	FJI	SQS		Is the person who normally provides oversight for the quality of your work:	a) A senior practitioner or equivalentb) A middle managerc) A senior manager	0 0 0

5.4.2	FJI	SQS	4.4.2	In the opinion of the case manager, does this manager have, and use sufficiently, the skills and knowledge to: a) Assess the quality of your work?	Yes	0	
				, , , , ,	No	0	
			4.4.2	b) Support you in your work?	Yes No	0	
			4.4.2	c) Actively help you improve the quality of your work?	Yes No	0	
			4.4.2	d) Provide you with effective and appropriate supervision	Yes No	0	
5.5	FJI	SQS	4.4.2	In the opinion of the case manager, would they describe the countersigning / management oversight of risk of harm and Safeguarding work as an effective process?	Yes No	0	
5.7.1	FJI	SQS	4.4.2	In the opinion of the case manager, are their training and skills development needs met: a) To do this job?	Yes No Partly	0 0 0	
				b) For future development?	Yes No Partly	0 0 0	
				c) To deliver interventions?	Yes No Partly N/A (I don't deliver interventions)		
5.7.2	FJI	SQS	4.4.2	Specifically, does the case manager consider that they have received sufficient training to:			
				a) Recognise and respond to Speech Language or Communication Needs	Yes No	0	
				b) Recognise and respond to other diversity factors or	Yes	0	
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				potential discriminatory factors?	No	0
5.8	FJI	SQS	4.4.1	In the opinion of the case manager, how well does the	Very well	0
				culture of the organisation positively promote learning and	A mixed picture	0
				development?	Not well enough	0
5.9	FJI	SQS	4.4.1	In the opinion of the case manager, do they sufficiently	Very well	0
				understand the priorities of the organisation, in particular as	A mixed picture	0
				they affect their role?	Not well enough	0
5.10	FJI	SQS		Please record the key discussion points relating to management of practice. [max 200 words)	[Free text entry]	
5.15.1	FJI	SQS		Alert cases: Has this case been escalated using the HMI	Yes (If shaded include 5.15.2)	0
				Probation Alert process?	No	0
5.15.2	FJI	SQS		How are the details being forwarded to the Lead Inspector?	Alert – being forwarded to LI on paper Alert – being forwarded to LI electronically	0

View 6 – Practice Examples

View o Tractice Examples							
NB: This view is used for both FJI and SQS							
Please describe, in a format suitable for inclusion on the report, any examples that you found in this case of practice that was particularly good or made a positive difference to the progress or outcome of the work. Please also consider practice examples relating to the additional module(s) being inspected. (max 250 words)							
Please describe, in a format suitable for inclusion on the report, any examples that you found in this case of practice that was particularly poor or had a significant negative impact on the progress or outcome of the work, the protection of a child or young person or the protection of others. Please also consider practice examples relating to the additional module(s) being inspected. (max 250 words)							

View 7 – Partner Engagement

NB: This view is used only in FJI

Please describe the nature and quality of partner engagement and cooperation in this case. This must include sufficient explanation of the case circumstances to enable a partner inspector to understand the context of your comments. [max 250 words].

NOTEPAD

Please note down any difficulties with completi	ng this form - remem	nber to note down the Que	estion Number!